



Attorney Docket No.: 5702D-6844

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IN THE UNITED STATES PATENT AND TRADEMARK OFF	FFICE	ARK (ADEMA	TRA	AND	TENT	PA	TES	STA	TED	UN	THE	IN
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IN THE UNITED STATES FATELY	AT AND TRADEMARK OFFICE
In re application of:)
TANAKA, Yoshiyuki; YATABE, Makoto;)
SATO, Takeaki; and KAWAMOTO, Kazuya) Art Unit:
Serial No:) Examiner:
Filed: August 7, 1998)
For: METHOD FOR CONTROLLING NON-)
VOLATILE SEMICONDUCTOR MEMORY)
SYSTEM)
)



CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL

"Express Mail" Mailing Label No. EM 377 156 152 US Date of Deposit: August 7, 1998

Box PATENT APPLICATION Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

by certify that
a letter of transmittal
check in amount of <u>\$1736</u> as filing fee patent application (<u>71</u> pages of specification; <u>22</u> claims; <u>1</u> pages of
abstract
45 sheet(s) of Formal drawings
executed Declaration and Power of Attorney
executed Assignment, with Recordation Cover Letter and check in amount of \$40
2 certified copy(ies) of Japanese patent application(s) from which priority is claimed in the subject case pursuant to 35 USC § 119
Preliminary Amendment
Information Disclosure Statement with references
return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 CFR 1.10 on the date indicated above and are addressed to:

Box PATENT APPLICATION Assistant Commissioner for Patents Washington, D.C. 20231.

> August 7, 1998 **Date of Deposit**

ALMA COBIAN Name of person mailing papers

FORM PTO-1082

Date: August 7, 1998

Case Docket No. 5702D-6844

Express Mail Label No. EM 377 156 152 US

Dear Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Yoshiyuki TANAKA, Makoto YATABE, Takeaki SATO, and Kazuya KAWAMOTO

For:

METHOD FOR CONTROLLING NON-VOLATILE SEMICONDUCTOR MEMORY SYSTEM

Enclosed are:

X 45 Sheets of drawings

An assignment of the invention to <u>Kabushiki Kaisha Toshiba</u>. (Will follow)

__ Declaration and Power of Attorney. (Will follow)

Certified copy of Japanese Patent Application Nos. 9-214561 filed August 8, 1997 and 10-119099 filed April 28, 1998, from which priority is claimed under 35 USC §119.

	CALCULATION OF FEES						
	ITEM	NO. OF CLAIMS FILED MINUS BASE*	NO. OF CLAIMS OVER BASE	X SM/LG ENTITY FEE	\$ AMOUNT	\$ FEE	
A	TOTAL CLAIMS FEE	22 - 20* =	2	X \$11 or X \$22	\$44		
В	INDEPENDENT CLAIMS	14 - 3° =	11 .	X \$41 or X 82	\$902		
С	SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)						
D	SMALL ENTITY FEE = \$135 MULTIPLE-DEPENDENT CLAIMS FEE LARGE ENTITY FEE = \$270						
E	SMALL ENTITY FEE = \$395 BASIC FEE* LARGE ENTITY FEE = \$790						
F	TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)					\$1,736	
	·						
F	ASSIGNMENT RECORDING FEE \$ 40					\$	
	**LIST INDEPENDENT CLAIMS 1, 2, 4, 5, 6, 7, 10, 13, 15, 16, 17, 19, 20, and 22						

-	Please charge my Deposit Account No. 12-1820 the amount of	\$0	A copy of this letter is enclosed.
· _ ·	A check in the amount of	\$0	to cover the filing fee is enclosed.
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The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 12-1820. A copy of this sheet is enclosed.

Any additional filing fees required under 37 CFR 1.16.

Any patent application processing fees under 37 CFR 1.17.

The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 12-1820. A copy of this letter is enclosed.

Any patent application processing fees under 37 CFR 1.17.

The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).

Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted

Date: August 7, 1998

Louis A. Mok Reg. No. 22, 585

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United States Patent & Trademark Office

Office of Initial Patent Examination – Document Preparation



4.

Unscannable Application paper found during Document Preparation:

Гур	e of Document:				
Ø	Transmittal Letter			Computer Listings	
	Small Entity Statement			Claims -	
	Preliminary Amendment			Abstract	
	Specification page(s)			Biotech Listings	
	Drawings figure(s)			Non-English Specification	
	Oath or Declaration			Other	
Application papers are not suitable for scanning and are not in compliance with 37 CFR 1.52 secause: All sheets must be either A4 (21 cm x 29.7 cm) or 8-1/2"x 11".					
П	,			do not meet these requirements.	
_	Too thin - Papers are not strong				
	☐ Double-sided - Papers may not be printed on both sides.			on both sides.	
	Two-Column Specification - Format can not			electronically reproduced	
	Hand-written -	Papers are not typewr	itten	or mechanically produced.	
	Glossy pages - Papers are not non-shiny.				
	Non-white background -	Papers are not white	e or r	photoconies of photographs	